



RECEIVED  
DEC 21 2001

GROUP 3600

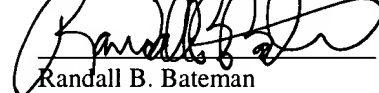
PATENT APPLICATION  
DOCKET NO. 1136.ACT2.NP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Thomas Marshall  
SERIAL NO.: 09/942,112  
FILED: August 28, 2001  
FOR: IMPROVED JOINT FOR  
BULLET TRAPS  
ART UNIT: 3632  
EXAMINER:  
DOCKET NO.: 1136.ACT2.NP

CERTIFICATE OF DEPOSIT  
UNDER 37 C.F.R. § 1.8

I hereby certify that this  
correspondence is being deposited  
with the United States Postal  
Service as First Class Mail, postage  
prepaid, under 37 C.F.R. § 1.8 on  
the date indicated below and is  
addressed to Assistant  
Commissioner for Patents,  
Washington, D.C. 20231.

  
Randall B. Bateman

12-4-01  
Date of Deposit

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97

Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). Applicant respectfully submits that this Information Disclosure Statement is filed pursuant to 37 C.F.R. § 1.97 (b), within three months of the filing date of the application, within three months of the date of entry of the national stage set forth in § 1.491 in an international application or before the mailing date of a first Office action on the merits and requests that the references cited in the enclosed form PTO-1449 be made of record in the above-captioned application. While no representation is made that any of these references may be "prior

Assistant Commissioner for Patents  
December 4, 2001  
Page 2

art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the references listed, together with any other references which may have been previously submitted or listed, are the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

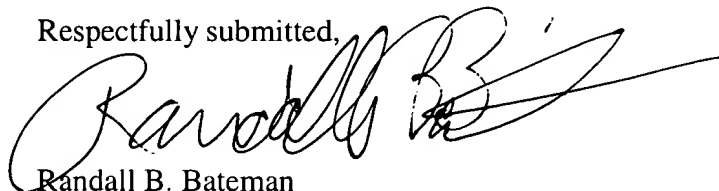
In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each listed reference (or relevant portion thereof) which was not previously submitted to, or cited by, the Patent Office is also enclosed.

Since all listed references are either in the English language or are accompanied by a translation into English, no concise explanation of relevance is required under 37 C.F.R. § 1.98(a)(3).

Please charge any additional fees or credit any overpayment to Deposit Account No. 50-0881.

DATED this 4 day of December, 2001.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Randall B. Bateman", with a stylized flourish extending to the right.

Randall B. Bateman  
Attorney for Applicant  
Registration No. 37,774

Assistant Commissioner for Patents  
December 4, 2001  
Page 3

MORRISS, BATEMAN, O'BRYANT & COMPAGNI, P.C.  
5882 South 900 East, Suite 300  
Salt Lake City, Utah 84121  
(801) 685-2302 telephone  
(801) 685-2303 facsimile

## LIST OF PRIOR ART CITED BY APPLICANT

Sheet 1 of 2

Application Number	09/942,112
Filing Date	August 28, 2001
First Named Inventor	Thomas Marshall
Group Art Unit	3632
Examiner Name	
Attorney Docket	1136.ACT2.NP

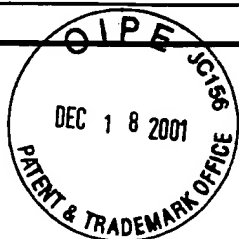
## U.S. PATENT DOCUMENTS

EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	774,959	11/1904	Tresidder			
	AB	908,255	1/1911	Hermes, et al.			
	AC	1,035,908	8/1912	Richardson			
	AD	1,155,717	10/1915	Fouts			
	AE	1,199,357	9/1916	Evans, Jr.			
	AF	1,803,514	5/1931	Thomas			
	AG	1,957,933	5/1934	Brandl			
	AH	2,054,665	9/1936	Tracy			
	AI	2,105,784	1/1938	Hagberg			
	AJ	2,170,637	8/1939	Hatch, et al.			
	AK	2,208,010	7/1940	Whitmore			
	AL	2,209,580	7/1940	Sargent			
	AM	2,212,982	8/1940	Drain, Jr., et al.			
	AN	2,231,528	2/1941	Daniels			
	AO	2,269,490	1/1942	Slick			
	AP	2,350,827	6/1944	Saulnier			
	AR	2,412,242	12/1946	Beaud			
	AS	2,628,388	2/1953	Poth			
	AT	2,927,665	3/1960	Hauf			
	AU	2,932,860	4/1960	Barth			
	AV	3,423,891	1/1969	Burris			
	AW	3,485,405	12/1969	Dement			
	AX	3,530,633	9/1970	Scott			
	AY	3,969,855	7/1976	Lendi			
	AZ	4,028,856	6/1977	Dlabee			
	BA	4,254,600	3/1981	Zwissler			
	BB	4,677,798	7/1987	Phillips			
	BC	4,856,791	8/1989	McQuade			
	BD	5,400,692	3/1995	Bateman			

## LIST OF PRIOR ART CITED BY APPLICANT

Sheet 2 of 2

Application Number	09/942,112
Filing Date	August 28, 2001
First Named Inventor	Thomas Marshall
Group Art Unit	3632
Examiner Name	
Attorney Docket	1136.ACT2.NP



## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	BE	127,432	4/1948	Australia				
	BF	202,340	7/1956	Australia				
	BG	832,754	10/1938	France				
	BH	849,829	12/1939	France				
	BI	1.156.211	5/1958	France				
	BJ	2461-069	1/1910	France				
	BK	227,342	10/1910	German				
	BL	498,308	5/1930	German				
	BM	50-207	1903-05					
	BN	280,832	11/1927	British				
	BO	514,123	11/1930	German				
	BP	725,189	3/1955	British				
	BR	2021 170	11/1971	West Germany				
	BS	597 451	4/1978	Switzerland				
	BT	7700295	1/1977	Netherlands				
	BU	DE 3655741-A	7/1992					
	BV	877,489	4/1953	German				

## OTHER PRIOR ART (Including Author, Title, Pertinent Pages, Etc.)


EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.